

COPY OF PAPERS

ORIGINALLY FILED

Approved for use through 10/31/2002. OME 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OME 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OME 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OME 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OME 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OME 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OME 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OME 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002. OME 0651-0031

Approved for use through 10/31/2002. OME 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

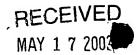
Approved for use through 10/31/2002. OME 0651-0031

Appro

Correction of the Correction o					
			Application Nun	nber	10/037,584
TRANSMITTAL			Filing Date		10/23/2001
FORM		First Named Inv	entor	Michael K. Brown	
(to be used for all corre	spondence after	r initial filing)	Group Art Unit		
			Examiner Name		
Total Number of Pages in This Submission			Attorney Docket Number 401052-B-01-US (Brown)		
ENCLOSURES (check all that apply)					
Fee Transmittal Form		Assignm (for an A	nent Papers Application)		After Allowance Communication to Group
Fee Attached		Drawing	(s)		Appeal Communication to Board of Appeals and Interferences
Amendment / Reply		Licensin	g-related Papers		Appeal Communication to Group
After Final		Petition		Ī	Proprietary Information
Affidavits/declaratio	n(s)	Petition to Convert to a Provisional Application			Status Letter
Extension of Time Request		Power of Change Address	f Attorney, Revocatio of Correspondence		Other Enclosure(s) (please identify below):
		Terminal Disclaimer		identity below).	
Express Abandonment Request		Request	for Refund		RECEIVE
Information Disclosure State	tement	CD, Nur	nber of CD(s)		MAY 1 7 2002
Certified Copy of Priority Document(s)		Remarks			
Response to Missing Parts. Incomplete Application	,	Enclosed al	l Iso:		OFFICE OF PETITION
Response to Missing		Declaration	papers	7/-\	
under 37 CFR 1.52 c	or 1.53	Petition Und	der 37 CFR 1.47	7(a)	
	SIGNATUR	RE OF APPLIC	CANT, ATTORNEY	, OR AC	BENT
Firm or John Individual name	n C. Moran,	Attorney, F	P.C.		
Signature	la c.	moran			
Date 05/01/2002					
CERTIFICATE OF MAILING					
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: O5/02/2002					
Typed or printed name John C. Moran					
Signature,	John C	c. Mo	711	Date	05/02/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date | 03



COPY OF PAPERS ORIGINALLY FILED

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0551-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwith Reduction AC of Tiess Romans are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known 10/037,584 **Application Number** 10/23/2001 Filing Date Michael K. Brown First Named Inventor **Examiner Name** atent fees are subject to annual revision. **Group Art Unit** TOTAL AMOUNT OF PAYMENT (\$) 401052-B-01-US (Brown) Attorney Docket No.

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overnaments to:	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to: Deposit	Large Small				
Account 501602	Entity Entity Fee Fee Fee Fee Fee Description	F			
Number CO 1002	Fee Fee Fee Fee Fee Pescription Code (\$) Code (\$)	Fee Paid			
Deposit Account Name	105 130 205 65 Surcharge - late filing fee or oath	130.00			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. Payment Enclosed: Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
	115 110 215 55 Extension for reply within first month	110.00			
BASIC FILING FEE Large Entity Small Entity	116 400 216 200 Extension for reply within second month				
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month				
Code (\$) Code (\$) Fee Paid	118 1,440 218 720 Extension for reply within fourth month				
101 740 201 370 Utility filing fee	128 1,960 228 980 Extension for reply within fifth month				
106 330 206 165 Design filing fee	119 320 219 160 Notice of Appeal				
107 510 207 255 Plant filing fee	120 320 220 160 Filing a brief in support of an appeal				
108 740 208 370 Reissue filing fee	121 280 221 140 Request for oral hearing				
114 160 214 80 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional				
Fee from Ext <u>ra Claims below Fee Paid</u>	142 1,280 242 640 Utility issue fee (or reissue)				
Total Claims -20** = X =	143 460 243 230 Design issue fee				
Independent 3** = Y	144 620 244 310 Plant issue fee				
Claims	122 130 122 130 Petitions to the Commissioner	130.00			
	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity	126 180 126 180 Submission of Information Disclosure Stmt				
Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per				
103 18 203 9 Claims in excess of 20	property (times number of properties)				
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))				
109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination	71			
SUBTOTAL (2) (\$)	of a design application Other fee (specify)				
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 37	0.00			
**or number previously paid, if greater; For Reissues, see above	Treduced by basic runing ree raid SUBTUTAL (3)				

SUBMITTED BY

Name (Print/Type)

John C. Moran

Registration No. (Attorney/Agent)

Signature

Complete (if applicable)

Telephone 303-450-9926

Date 05/01/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

COPY OF PAPERS

Approor or use through 10/31/2002. OMB 0651-0031

ORIGINALLY FILED Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number.

PETITION FOR EXTENSION OF T	TIME UNDER 37 CFR 1.136(a)	Docket Number (Optional) 401052-B-01-US (Brown)		
APE	In re Application of Michael K. Brown, et al.			
0, 6	Application Number 10/037,584	Filed 10/23/2001		
MAY 1 3 2002	For			
TEAT & TRADE TO	Group Art Unit	Examiner		
This is a request under the provisions of reply in the above identified application.	37 CFR 1.136(a) to extend the period fo	r filing a		
The requested extension and appropriate (check time period desired):	non-small-entity fee are as follows			
X One month (37 CFR 1.17(a)	(1))	\$ <u>110.00</u>		
Two months (37 CFR 1.17(a)(2))	\$		
Three months (37 CFR 1.17)	(a)(3))	\$		
Four months (37 CFR 1.17(a	0)(4))	\$		
Five months (37 CFR 1.17(a	\$			
	s. See 37 CFR 1.27. Therefore, the fee a	amount shown		
above is reduced by one-half, and the fee is	• • • • • • • • • • • • • • • • • • • •			
		RECEIVED		
Payment by credit card. Form PTC The Commissioner has already be	en authorized to charge fees in this	MAY 1 7 2002		
application to a Deposit Account.	on dunionized to enarge toda in and	MAI 1 1 2001		
	rized to charge any fees which may be i	required, OFFICE OF PETITIONS		
or credit any overpayment, to Depo I have enclosed a duplicate copy of		· ·		
I am the applicant/inventor				
assignee of record of the	entire interest. See 37 CFR 3.71. CFR 3.73(b) is enclosed. (Form PTO/SE	3/06)		
X attorney or agent of reco		5/90).		
attorney or agent under Registration number if ac	37 CFR 1.34(a). ting under 37 CFR 1.34(a)			
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
be included on this form. Provide (credit card information and authoriza	tion on P1O-2038.		
05/01/2002	oom- G	moran		
Date	Signa	ture		
	John C. Mo	ran		
002 AWONDAF1 00000115 501602 10037584	Typed	or printed name		
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple				
forms if more than one signature is required, see be				
Total offorms are submitted				

05/15/2 03 FC:1





United States Patent and Trademark Office

COPY OF PAPERS **ORIGINALLY FILED**

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/037,584

10/23/2001

Michael K. Brown

401052-B-01-US(Brown)

Docket Administrator (Room 1L-202) Avava Inc. 101 Crawfords Corner Road P.O. Box 629 Holmdel, NJ 07733-3030



CONFIRMATION NO. 6513 FORMALITIES LETTER OC000000007416132*

Date Mailed: 02/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/15/2002 AWDNDAF1 00000115 501602 10037584

01 FC:105

130.00 CH

RECEIVED MAY 1 7 2002

OFFICE OF PETITIONS

COPY OF PAPERS ORIGINALLY FILED

IN THE UNITED STATES TENT AND TRADEMARK OFFICE

Patent Application

RECEIVED

MAY 1 7 2002

Inventors

Michael K. Brown

OFFICE OF PETITIONS

Norman C. Chan Sharmistha Sarkar Das

David J. Skiba Danny M. Wages

Case

401052-B-01-US (Brown)

Serial No. 10/037,584

Filing Date 10/23/2001

Title

A Unified Call Classifier For Processing Speech

And Tones As A Single Information Stream

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D. C. 20231

SIR:

PETITION UNDER 37 CFR §1.47(a)

Enclosed with this petition is a Declaration and Power of Attorney document signed by Norman C. Chan, Sharmistha Sarkar Das, David J. Skiba, and Danny M. Wages. Mr. Michael K. Brown, an inventor, has refused to sign this document. The other inventors request that Mr. Brown be joined as a joint inventor under 37 CFR §1.47(a).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date Being Mailed

Signature

05/15/2002 AWDNDAF1 00000115 501602

130.00

Statement Of Facts

The facts concerning Mr. Brown's refusal to sign are as follows. The above-identified patent application was filed without a signed Declaration and Power of Attorney document on October 23, 2001. (A second application, 401052-A-01-US (Brown) of which Mr. Brown is an inventor, was also filed at this time. Mr. Brown has also refused to sign the Declaration document for that application.) When the serial number for the filed patent application was received, an email copy of the attached document was sent to Mr. Brown on February 28, 2002 by Kate Robertson (see Exhibit A). Mr. Brown responded to this email from Kate Robertson stating that he was an inventor and wanting to be paid for his time for reviewing and signing the document or receive a patent incentive award that Avaya Inc. gives only to active employees (see Exhibit B). Mr. Brown had retired from Avaya Inc. in August, 2001. After a signed Declaration and Power of Attorney document was not received from Mr. Brown, another Declaration and Power of Attorney document, assignment document, and application were sent to Mr. Brown on April 6, 2002, by John C. Moran (see Exhibit C). In response to an email inquiry of April 17, 2002, from John C. Moran, Mr. Brown responded by email on April 24, 2002, stating that he would not sign the Declaration and Power of Attorney and assignment documents. As a last step, I, John C. Moran, called Mr. Brown on April 24, 2002, at approximately 8:00 p.m. MST, and asked him to sign only the Declaration and Power of Attorney document. Mr. Brown stated that he refused to sign that document.

Mailing Address For Mr. Brown

The mailing address for Mr. Brown is as follows:

Michael K. Brown 285 Lewis Street North Plainfield, NJ 07060

Payment Of Petition Fee

Please charge Avaya Inc.'s Deposit Account No. 501602 the amount of \$130, to cover the fee for filing this petition. In the event of non-payment or improper payment of a required fee, the Assistant Commissioner is authorized to charge or to credit Deposit Account No. 501602 as required to correct the error.

Respectfully,

Norman C. Chan Sharmistha Sarkar Das David J. Skiba Danny M. Wages

John C. Moran

Patent Attorney

Reg. No. 30,782

303-450-9926

Date:

John C. Moran, Attorney, P.C.

4120 115th Place

Thornton, CO 80233

Main Identity

From:

"Robertson, Kate (Kate)" <binkey@avaya.com>
"John Moran" <jmoran18819@earthlink.net>

To: Sent:

Monday, March 11, 2002 4:16 PM

Attach:

401052-A-BrownLateDec.doc; 401052-B-BrownLateDec.doc; 401052 B

BrownLateAssignment.doc; 401052 A BrownLateAssignment.doc

Subject:

FW: Formal Papers

----Original Message-----

From: Robertson, Kate (Kate)

Sent: Thursday, February 28, 2002 12:27 PM

To: 'MikeBrown'

Subject:

Formal Papers

Hi Mike.

Thanks for getting back with me on this.

Attached are the formal papers for both applications that you submitted.

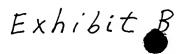
<<401052-A-BrownLateDec.doc>> <<401052-B-BrownLateDec.doc>> <<401052
B BrownLateAssignment.doc>> <<401052 A BrownLateAssignment.doc>>

After signing and having notarized (the Assignments need notarized) please mail these back to me at the following address:

Kate Robertson Avaya - Rm. 2U41 1300 W. 120th Ave. Westminster, CO 80234

Thanks Mike. Sorry this is catching you at an inconvenient time!! Any questions, please call me on 303-538-4211.

Kate Robertson



Robertson, Kate (Kate)

From:

Michael K. Brown [mkb@cydyreal.com]

Sent: To: Friday, March 01, 2002 12:59 PM

Cc: Subject: Robertson, Kate (Kate) Michael K. Brown Re: Formal Papers

Kate,

I understand. I know a little bit about patent law from running into patent issues in the past so many times. John told me several months ago, when I first worked with him, that Avaya can pay this award, but they might need to bring me in as a consultant to deal with getting a check cut through their payroll, where such checks normally are issued. I guess they just didn't want to be bothered.

I'm sorry you are in the middle of this, but I need to encourage Avaya to do the right thing. I'm still hopeful we can come to an agreement on compensation, but it looks like I'm going to have to work at it a little more.

Best,

Mike

Robertson, Kate (Kate) wrote:

```
> Mike,
> In that I'm not an attorney, I don't know what can and can't be done
> about this. I was just trying to help John Moran get this paperwork
 done in that he's traveling overseas right now. Maybe you can give him
 a call when you get back from your trip.
>
 Kate
> ----Original Message----
> From: Michael K. Brown [mailto:mkb@cydyreal.com]
> Sent: Friday, March 01, 2002 12:35 PM
> To: Robertson, Kate (Kate)
> Cc: Michael K. Brown
> Subject: Re: Formal Papers
>
> Kate,
> Legally at this point they cannot just drop my name from the patent
> under U.S. Patent law unless they can prove I made no material
> contribution. This would be difficult since I authored the original
> submission document. Although it was expanded later, it would look
> pretty shady to just drop me off at this point. I think they would have
> to rewrite the whole set of claims.
> This refusal to honor the award program is a discretionary decision on
> some manager's part, apparently thinking I have no recourse. An
> alternative approach to resolve this issue would be for Cydyreal to
> invoice Avaya for time and expenses. If they are willing to consider
> such an option, then we may achieve the same result by a different path.
> Regards,
      Mike
> Robertson, Kate (Kate) wrote:
```

```
>>Mike, I understand.
                       If you'd like, at this point, you can just sign
>>
> the
>>papers and put them in the mail without notarization, at least this
>>
> way
>>your name will get put on the patent.
>>I understand how you must feel. Let me know what you decide to do.
>>
>>Kate Robertson
>>303-538-4211
>>
>>----Original Message----
>>From: Michael K. Brown [mailto:mkb@cydyreal.com]
>>Sent: Friday, March 01, 2002 11:26 AM
>>To: Robertson, Kate (Kate)
>>Cc: Michael K. Brown; Hopkins, Catherine F (Cathy)
>>Subject: Re: Formal Papers
>>
>>
>>Kate,
>>I was just informed through Ravi Sethi's organization that Avaya has
>>
> no
>>intention of honoring the patent awards program for these filings
> since
>>I am a retiree and no longer with the company, and their view is that
>>these filings were done after I left (although I made the original
>>submission many months earlier). This is unfortunate since I've
>>
> already
>>devoted considerable unpaid time helping to prepare these documents
>>
> over
>>several months since late last year, and it would just incur
>>
> additional
>>lost time and money to print, prepare, get notarization and mail these
>>
>>documents. Given that I'm also trying to get taxes done before I
>>
> leave
>>tomorrow this puts me in a needless time crunch to get these out
>>
> today,
>>so I'm sorry for the inconvenience to you personally but I will not be
>>
>
>>able to complete the signing before I leave.
>>If necessary, we may try again when I return in early April.
```

```
>>
      Mike
>>
>>
>>
>>Robertson, Kate (Kate) wrote:
>>
>>
>>
>>>Hi Mike.
>>>
>>>Thanks for getting back with me on this.
>>>Attached are the formal papers for both applications that you
>>>
>>>
>>submitted.
>>
>>
>>><401052-A-BrownLateDec.doc>> <<401052-B-BrownLateDec.doc>> <<401052 B
>>>
>>>
>>>BrownLateAssignment.doc>> <<401052 A BrownLateAssignment.doc>>
>>>After signing and having notarized (the Assignments need notarized)
>>>please mail these back to me at the following address:
>>>
>>>Kate Robertson
>>>Avaya - Rm. 2U41
>>>1300 W. 120th Ave.
>>>Westminster, CO 80234
>>>
>>>Thanks Mike.
                  Sorry this is catching you at a inconvenient time !!
>>>
>>>
>>Any
>>
>>
>>>questions, please call me on 303-538-4211.
>>>
>>>Kate Robertson
>>>
>>>
>>>
>>
>
>
Michael K. Brown, Ph.D.
                                    mkb@cydyreal.com
```

President, Cydyreal, Inc.

MOBILE: (908) 578-8804

(908) 561-1281

FAX: (908)754-7696

Exhibitc

John C. Moran, Attorney

TELEPHONE (303) 450-9926 FAX (303)-920-9113 A PROFESSIONAL CORPORATION 4120 E. 115th PLACE THORNTON COLORADO 80233

EMAIL jmoran18819@earthlink.net

April 6, 2002

Michael K. Brown 285 Lewis Street North Plainfield, NJ 07060

Dear Mr. Brown:

Re: United States Patent Application Serial No. 10/037,588 United States Patent Application Serial No. 10/037,584

The above-identified patent applications were filed on October 23, 2001 without signed Declarations. You had previously received Declaration and Power of Attorney plus Assignment papers for the above-identified patent applications but have refused to sign these papers as stated in your email messages to Kate Robertson and myself. In your email messages, you have also clearly stated that you are an inventor of the above-identified patent applications and that you had spent a large amount of time assisting in the preparation of these applications. Let me thank you again for your help in the preparation of these applications. On the possibility that you have decided to sign the papers for these applications but have lost the original papers, I am enclosing copies of the above-identified patent applications as filed along with new Declaration and Power of Attorney plus Assignment papers. If you wish to sign, please just sign the papers in blue ink and return them to me. You do not have to have the papers notarized. I have included a return envelope with postage for the Declaration and Power of Attorney plus Assignment papers.

If your decision is not to sign, then, I will be required to follow well established U.S. Patent and Trademark Office procedures to have these papers filed without your signature. These procedures are designed to handle cases where an inventor refuses to sign the Declaration and Assignment papers. You will still be an inventor, and your name will appear as such on any patents that may issue from these applications.

If you wish to speak with me, please call me at 303-450-9926.

Yours truly,

John C. Moran Patent Attorney

Encs.

CC: Ravi Sethi David Volejnicek

Main Identity

From: To:

"Michael K. Brown" <mkb@cydyreal.com> "John Moran" <jmoran18819@earthlink.net>

Cc:

"Michael K. Brown" <mkb@cydyreal.com>

Sent:

Wednesday, April 24, 2002 12:45 PM

Subject:

Re: Patent Applications - 401052-A-01-US (Brown) and 401052-B-01-US (Brown)

John,

I got your phone message when I returned this afternoon. If I understand the message correctly you made the following points:

- 1. the duplicate numbering of inventors will not be an issue for the PO
- 2. Avaya Technology Corp. is correct. I take this to mean the IP is held in a separate entity for greater asset protection. Can you tell me if the IP would be protected during a bankruptcy process? (A side interest, as an investor this is worth knowing.)
- 3. you state that signing the assignment only commits me to signing further documents.

I have no issue with items #1 and #2. Let me include here the exact wording from the assignment document that causes me concern:

"We agree that, when requested, we shall, without charge to Avaya Technology Corp. but at its expense, sign all papers, [=>] and DO ALL ACTS [<=] which may be necessary, desirable or convenient in connection

with said applications, patents, or other forms of protection."

While I am not a legal expert, I can read plain English perfectly well, and this clearly and explicitly states that I would agree to more than just signing documents.

I'm sorry for the inconvenience, John, but given this wording and your explanation, I am no longer comfortable signing these documents without

seeking my own legal advice at this point. In the absense of a requirement for me to undertake that expense, I believe it is more expedient to decline to sign these documents.

Mike





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Declaration and Power of Attorney

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled A UNIFIED CALL CLASSIFIER FOR PROCESSING SPEECH AND TONES AS A SINGLE INFORMATION STREAM, the specification of which was filed in the United States Patent and Trademark Office on October 23, 2001, as application Serial No. 10/037,584.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

I acknowledge the duty to disclose all information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

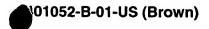
None

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

None

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

RECEIVED MAY 1 7 2002





Full name of 1st joint inventor: Michael Kenneth Brown

Post Office Address: 1818 Eisenhower Drive

Louisville, CO 80027

I hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

Brian K. Dinicola	(Reg. No. 36122)
Paul Gardon	(Reg. No. 48761)
Robert E. Rudnick	(Reg. No. 36260)
David Volejnicek	(Reg. No. 29355)
Don L. Webber	(Reg. No. 34275)

I hereby appoint the attorney(s) on ATTACHMENT A as associate attorney(s) in the aforementioned application, with full power solely to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected with the prosecution of said application. No other powers are granted to such associate attorneys(s) and such associate attorney(s) are specifically denied any power of substitution or revocation.

Inventor's signature _		Date
Residence: North Pla	ainfield, Somerset, New Jersey	
Citizenship: USA		
Post Office Address:	285 Lewis Street North Plainfield, NJ 07060	
Full name of 2 nd inventor:	7 1 7	,
Inventor's signature _	Glomano, Clan	Date
Residence: Louisvill	e, Boulder County, Colorado	
Citizenship: USA		

Full name of 3 rd inventor: \$	Sharmistha Sarkar Das		
Inventor's signature	Sharmisten Das	Date	Maxe, 5, 2002
Residence: Broomfie	ld, County of Broomfield, Colorado		
Citizenship: USA			
Post Office Address:	2830 Elaine Drive, Broomfield, CO 80020		
Full name of 3 rd inventor: [David Joseph Skiba		
Inventor's signature 上	sil Joseph Stil	Date	February 27.2002
Residence: Columbus	, Franklin County, Ohio		U
Citizenship: USA			
Post Office Address:	3111 Derby Road Columbus, Ohio 43221		
Full name of 3 rd inventor: [Danny M. Wages		
Inventor's signature		Date _	·
Residence: Boulder, B	oulder County, Colorado		
Citizenship: USA			
	388 Nightshade Drive Boulder, CO 80302		

Full name of 3 rd inventor: S	harmistha Sarkar Das	
Inventor's signature		Date
Residence: Broomfiel	d, County of Broomfield, Colorado	
Citizenship: USA		
Post Office Address:	2830 Elaine Drive, Broomfield, CO 80020	
Full name of 3 rd inventor: D	•	Date
Inventor's signature		Date
Residence: Columbus,	Franklin County, Ohio	
Citizenship: USA		
Post Office Address: 3	3111 Derby Road Columbus, Ohio 43221	
and the second s		
Full name of 3 rd inventor: D		_
Inventor's signature	Wanny m Wages	Date 3-4-02
Residence: Boulder, Bo	oulder County, Colorado	
Citizenship: USA		
Post Office Address:	388 Nightshade Drive Boulder, CO 80302	

ATTACHMENT A

Attorney Name(s):

John C. Moran

Reg. No. 30782

Telephone calls should be made to John C. Moran, Attorney, P.C. at:

Phone No.: (303) 450-9926 Fax No.: (303) 920-9113

All written communications are to be addressed to:

John C. Moran, Attorney, P.C.

4120 E. 115th Place

Thornton, CO 80233